



APEC FINANCIAL REGULATORS TRAINING INITIATIVE (FRTI)
Regional Seminar on Supervision of Self-Regulatory Organization (SRO) and
Financial Market Infrastructures
The Rizqun International Hotel, Bandar Seri Begawan
18 ZULHIJAH 1435 CORRESPONDING TO 13 OCTOBER 2014

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1. Autoriti Monetari Brunei Darussalam (AMBD) is hosting a regional seminar on Supervision of Self-Regulatory Organization and Financial Market Infrastructures, jointly organised by the Asia-Pacific Economic Cooperation Financial Regulators Training Initiative (APEC FRTI) and the Asian Development Bank (ADB) from 13 – 17 October at The Rizqun International Hotel, Bandar Seri Begawan, Brunei Darussalam.
 2. The opening ceremony of the seminar was held on 13 October 2014 and was officiated by Yang Mulia Hajah Mahani binti Haji Mohsin, Executive Director, Insurances/Takaful and Capital Market Supervision of AMBD whom delivered the welcoming remarks. Meanwhile, the opening remark was delivered by Mr. Kuei-Hua Wu, Financial Sector Specialist, ADB.
 3. In her welcoming remarks, Yang Mulia Hajah Mahani highlighted some of the challenges facing capital market supervisors. In order to maintain a sound and stable capital market, Self-Regulatory Organisation (SRO) and self-regulation with proper regulatory policies and infrastructure can provide support to achieve higher standards of regulation, market integrity and investor protection.
 4. The seminar is attended by 68 local and foreign participants from securities commissions and capital market regulatory authorities. The seminar will be delivered by experienced speakers and consultants in the field of capital markets.
 5. The objective of the 5-day seminar is to build the capacity of regulators and supervisors in promoting a regulatory and supervisory environment that supports the development of their respective capital markets, particularly SROs and FMIs. The seminar is divided into 15 topics aimed to enable the participants to gain knowledge on the main responsibilities of SRO and division of responsibilities between SROs and regulators, and how SRO are organized and governed, and trends in governance principles.
 6. The APEC Finance Ministers endorsed the establishment of the APEC Financial Regulators Training Initiative (FRTI) in May 1998 to strengthen financial supervision and regulation in the region by enhancing the analytical and technical capacity of bank supervisors and securities regulators. The APEC FRTI provides a sustainable, efficient, cost-effective training structure

for junior and mid-level staff of financial supervisory and regulatory agencies and stock and derivative exchanges. To implement APEC FRTI, a Secretariat was established at ADB Headquarters in Manila, Philippines and is responsible for coordinating the design of the training programs, sourcing presenters and implementing the training activities.

7. ADB is a regional development bank established on 22 August 1966 which is headquartered in Metro Manila, Philippines to facilitate economic development of countries in Asia. The bank admits the members of the United Nations Economic and Social Commission for Asia and the Pacific (UNESCAP, formerly known as the United Nations Economic Commission for Asia and the Far East) and non-regional developed countries. From 31 members at its establishment, ADB now has 67 members - of which 48 are from within Asia and the Pacific and 19 outside. ADB was modelled closely on the World Bank, and has a similar weighted voting system where votes are distributed in proportion with member's capital subscriptions.

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Date : 18 Zulhijjah 1435 / 13 October 2014

Ref : AMBD/R/CMS/11/43